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**PROVISIONAL APPLICATION COVER SHEET**

This is a request for filing a PROVISIONAL APPLICATION under 37 CFR 1.53(b)(2).

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**INVENTOR(s)/APPLICANT(s)**

LAST NAME	FIRST NAME	MIDDLE INITIAL	RESIDENCE (CITY AND EITHER STATE OR FOREIGN COUNTRY)
Janski	Alvin		Chesterfield, Missouri
Martinosky	Joe		Wildwood, Missouri

**TITLE OF THE INVENTION (500 characters max)**

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METHOD FOR PREDICTING STROKE IN A HUMAN PATIENT

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**CORRESPONDENCE ADDRESS**

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CUSTOMER NUMBER 001688

POLSTER, LIEDER, WOODRUFF & LUCCHESI, L.C.  
12412 Powerscourt Drive, Suite 200  
St. Louis, Missouri 63131-3615

STATE	Missouri	ZIP CODE	63131- 3615	COUNTRY	U.S.A.
TELEPHONE: (314) 238-2400			FACSIMILE: (314) 238-2401		

**ENCLOSED APPLICATION PARTS** (check all that apply)

Specification	Number of Pages	11
Claims	Number of Pages	3
Abstract	Number of Pages	1
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Applicant Claims Small Entity Status

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A check or money order in the amount of:

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The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

No  
 Yes, the name of the Government Agency and the Government Contract Number are:

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Respectfully submitted,

Mark E. Books  
Mark E. Books, Reg. No. 40,918

Date: 1/29/04

POLSTER, LIEDER, WOODRUFF & LUCCHESI, L.C.  
Customer Number: 001688  
12412 Powerscourt Drive, Suite 200  
St. Louis, Missouri 63131-3615  
Telephone: (314) 238-2400  
Facsimile: (314) 238-2401

## **SPECIFICATION**

To All Whom It May Concern:

Be It Known That We, **Alvin Janski**, citizen of the United States, resident of the City of Chesterfield, in the State of Missouri, whose full post office address is 14473 Brittania Drive, Chesterfield, Missouri 63017, and **Joe Martinosky**, citizen of the United States, resident of the City of Wildwood, in the State of Missouri, whose full post office address is 1703 South Woodgrove Court, Wildwood, Missouri 63038 have invented certain new and useful improvements in:

### **METHOD FOR PREDICTING STROKE IN A HUMAN PATIENT**

## **CROSS-REFERENCE TO RELATED APPLICATIONS**

[0001] Not Applicable.

## **STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH**

[0002] Not Applicable.

## **BACKGROUND OF THE INVENTION**

[0003] The present invention is related generally to methods for diagnosing and predicting the occurrence of strokes in a human patient, and in particular, to a method for the detection of a specific pre-condition to strokes, i.e. hemolysis, by measuring hemolysis indicators such as bilirubin or carbon monoxide (CO).

[0004] Sickle Cell Anemia is a disease which affects over 70,000 people in the United States of America and is the result of a point mutation in a single amino acid of hemoglobin. The mutated hemoglobin is referred to as Hemoglobin S (HbS). When HbS binds oxygen in a red blood cell, it precipitates, forming crystals that damage membranes in red blood cells, causing the red blood cells to lyse, releasing HbS into plasma, and lowering red blood cell levels (anemia). In severe cases in which this hemolysis results in a crisis of low oxygen tension, death can be the end point. It has been found that the binding of the vasorelaxant hormone, nitric oxide (NO), by HbS after it is released from red blood cells is 1000 times more efficient than the binding by normal Hb, lowering levels of "free" NO to a point at which severe vaso-constriction occurs. The lowered blood flow to tissues is thought to be a primary cause of stroke in patients.

[0005] It is believed that released HbS is normally metabolized (catabolized) by 'intravascular' hemolysis processes. This process is different than 'extravascular'

hemolysis occurring during neonatal jaundice in which the abnormal red blood cells are recognized by RES cells and phagocytosed, 'before' Hb is released within the phagocyte and then heme is released prior to reaction with heme oxygenase, releasing (CO). The intravascular hemolysis processes include the binding of Hb to plasma proteins ("haptoglobins"), and the complex of Hb/haptoglobin is carried to the RES where heme is released to generate CO. During intravascular hemolysis, some heme can be released in plasma and the free heme is bound by another protein (hemopexin), which carries the heme to the liver, where liver heme oxygenase generates the CO.

[0006] It would be advantageous to identify (CO) measurements (e.g. via hemolysis screener) as a sensitive indicator of an abnormal level of hemolysis (intravascular and/or extravascular) before the plasma levels of HbS reach a point at which enough NO is bound to cause vasoconstrictive-dependent pain or more severe pathologies, such as stroke which can lead to death. This would permit Sickle Cell Anemia patients to be regularly screened for (CO) in an emergency room, hospital bedside, doctors' office, or home, etc. The urgency of action in response to high CO levels might vary from more intense monitoring of patients, to increasing treatment (e.g. with (NO)), to more intensive critical care.

[0007] Currently, a common clinical practice to help persons with sickle-cell anemia is a blood transfusion, often performed on a monthly basis. However, the timing of these transfusions is often solely based on the timing of the last transfusion, and not based on a clinical predictor of the likelihood of stroke occurrences. The transfusions themselves are a source of trauma and pain to the patient, and are often very costly.

Even if all these other disadvantages are addressed, often transfusions are not possible because blood is not available.

[0008] Thus, it is highly desirable to minimize the necessity of transfusions, by the detection of predictors, such as carbon monoxide (CO), from sickle-cell anemia related pathologies, such as hemolysis, that eventually lead to negative clinical effects, such as pain, anemia and increased risk of stroke, infection and deafness.

[0009] Accordingly, there is a need for an accurate and reliable method for predicting the occurrence of strokes in a human patient based on non-invasive measurements of blood gases or exhaled breath gases such as (CO) which are indicators of hemolysis.

#### **BRIEF SUMMARY OF THE INVENTION**

[0010] Briefly stated, the present invention provides a method for predicting the occurrence of strokes in a human patient by detecting abnormal levels of hemolysis, as indicated by the measurement of (CO)..

[0011] In an alternate embodiment, the method of the present invention may be utilized to predict the onset of a variety of well-characterized pathologies of Sickle Cell Anemia.

[0012] In an alternate embodiment, the method of the present invention may be utilized limiting the occurrence and severity of deafness in Sickle Cell Anemia children.

[0013] The foregoing and other objects, features, and advantages of the invention as well as presently preferred embodiments thereof will become more apparent from the reading of the following description in connection with the accompanying drawings.

#### **BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS**

[0014] In the accompanying drawings which form part of the specification:

[0015] Figure 1 is a flow chart illustrating the steps in a method of the present invention for continuously monitoring a patient to predict the occurrence of strokes.

[0016] Corresponding reference numerals indicate corresponding parts throughout the several figures of the drawings.

## **DESCRIPTION OF THE PREFERRED EMBODIMENT**

[0017] The following detailed description illustrates the invention by way of example and not by way of limitation. The description clearly enables one skilled in the art to make and use the invention, describes several embodiments, adaptations, variations, alternatives, and uses of the invention, including what is presently believed to be the best mode of carrying out the invention.

[0018] The method of the present invention may be utilized to predict when persons with Sickle Cell Anemia are at risk of experiencing a stroke in the near-term future. By being aware that a stroke is likely to occur, persons with Sickle Cell Anemia and/or their caregivers will be better able to take actions to limit the impacts of the stroke (e.g. disruption in routine activities), plan for the type and timing of prophylactic treatment to reduce the risk for stroke occurrence, and prepare for therapeutic treatment used later at the onset and during a stroke.

[0019] The foundation of the method of the present invention is the discovery that intravascular hemoglobin (e.g. from hemolysis) decreases bioavailability of nitrous-oxide (NO), which causes events such as vasoconstriction. Strokes are associated with low oxygen tension in the brain. Patients with Sickle Cell Anemia have abnormal hemoglobin, hemoglobin-S (HbS). The presence of HbS in erythrocytes (red blood

cells) causes destabilization of the red blood cells, which causes lyses and release of hemoglobin in the blood's plasma fraction ("intravascular hemoglobin").

[0020] Previously, experts believed that most, if not all, Sickle Cell Anemia related increased risk of stroke was caused by the clumping of abnormally-shaped ("sickle"-shaped) red blood cells, and that clumping was thought to 'block' blood vessels, resulting in the occurrence of strokes. This prior belief in the clumping causation was independent of whether hemolysis was occurring. Recent evidence indicates much of the Sickle Cell Anemia-related risk of stroke may be a result of this newly-discovered role of hemolysis and the subsequent elevation of intravascular hemoglobin in reducing (NO) bioavailability.

[0021] Bilirubin and (CO) are produced in equal amounts from metabolism of heme, which is produced from metabolism of hemoglobin, including from intravascular hemoglobin derived from hemolysis. Because it is the intravascular hemoglobin that causes a decrease in (NO) bioavailability and subsequent increased risk of stroke, the question arises as to whether enough intravascular hemoglobin is metabolized such that (CO) will be detectable before the occurrence of a stroke.

[0022] While it has been observed that at certain stages of hemolysis, measurable bilirubin can be elevated 'without' the subsequent occurrence of strokes in the patient, It is very likely that elevated levels of (CO) from hemolysis, present 'before' the occurrence of a stroke, will be within the detection limits of existing detection methods and devices with little or no device modifications.

[0023] The exact profile of elevated (CO) to predict the occurrence of strokes will be defined from clinical research. Within a patient, natural hemoglobin Sickle Cell Anemia

scavenging systems involve normal processes for removal of intravascular hemoglobin. These normal systems are expected to produce elevated (CO), for some period of time and at some level of (CO), while maintaining levels of intravascular hemoglobin below a threshold that results in a stroke-causing decrease in (NO) bioavailability. However, a duration and level of elevated (CO) exists that is predictive of the occurrence of strokes, and that duration and level will be precisely defined from results of clinical research.

[0024] The normal system for removal of intravascular hemoglobin will prevent reduction of (NO) for some duration of time, despite the appearance of elevated (CO) levels, but, later, the removal system can be overwhelmed and (NO) decreases, resulting in pathologies. The precise profiles of elevated (CO) (duration, levels) that correlate with the presence of each pathology will define the exact specifications for these initial inventions, and those specifications may become new inventions.

[0025] Turning to Figure 1, the method of the present invention involves the detection of (CO) gas in a patient's breath, e.g. expired (CO) gas, from hemolysis, which is used to predict the occurrence of strokes. The method of the present invention relies on the association between hemolysis and a reduction in bioavailability of nitric oxide (NO), which leads to pain by mechanisms that include vasoconstriction. To continuously monitor a patient for the onset of a stroke, for example, in patient confined to a hospital bed, the patient is provided with suitable means to capture end-tidal breath gases for analysis. (Box 10). These may include the use of any conventional breath gas analyzer system having sufficient sensitivity to acquire measurements of gas concentrations required by the present invention. The end-tidal breath gas measurements are then acquired (Box 12), and the specific concentration of the expired (CO) gas is measured

(Box 14). If the concentration exceeds the predetermined limits found to indicate the onset of pain in the patient (Box 16), a warning or other stroke onset index is displayed to an operator or on a monitoring station (Box 18). Alternatively, if the concentration does not indicate the likelihood of the onset of a stroke, a "normal" indicator is displayed (Box 20).

[0026] The process of acquiring end-tidal breath gas measurements and measuring the concentration of (CO) gas for comparison with predetermined levels may be repeated continuously, such as for patients coupled to respirator systems.

[0027] Those of ordinary skill in the art will recognize that measurements of the gas levels in a patient's breath may be provided as input to a computer configured with suitable software application for gas level analysis, generating one or more indices representative of the likely occurrence of stroke or other related conditions in the patient. These indices may be discrete values, or may be displayed to an operator in the form of a graphical representation.

[0028] The method of the present invention for the prediction of the occurrence of stroke in a human patient may be utilized with any device capable of measuring the levels of carbon monoxide (CO) produced in the patient as a result of hemolysis, including devices for measuring breath end-tidal (CO) levels. Since the method of the present invention for predicting the occurrence of stroke is non-invasive, it may be used many times, even daily, or multiple times each day, possibly throughout the life-time of a patient without affecting the patient.

[0029] Another use for the method of the present invention in addition to predicting the occurrence of strokes, is the prediction of a condition referred to as "sudden deafness",

which may result from a neurotoxicity, and which also occurs in persons with Sickle Cell Anemia Published research, dating back to the 1970s, on children with Sickle Cell Anemia, report "sudden deafness". However, no clear explanation has been reported for why sudden deafness occurs in Sickle Cell Anemia children. Although sudden deafness may involve some role of decreased nitrous-oxide bioavailability, it is believed that sudden deafness in Sickle Cell Anemia children is at least partially the result of a metabolic product of hemolysis, i.e. bilirubin. Recent research results from studies of jaundiced newborns has provided strong evidence for bilirubin causing a variety of neurotoxicities, and the first neurotoxicity that becomes evident in jaundiced newborns is damage to auditory nerves. Bilirubin levels in a patient are elevated as a result of heme metabolism of hemoglobin after the hemolysis that characterizes the disease of Sickle Cell Anemia. Because bilirubin and (CO) are produced in equal amounts from heme, measuring (CO) by the method of the present invention further provides a useful alternative method for predicting a risk of deafness.

[0030] In alternate embodiments, the method of the present invention is further useful in predicting a wide range of sickle-cell anemia related pathologies, e.g. anemia, pain, or infection, which are additionally caused by a decreased (NO) bioavailability, as well as other (NO)-related negative influences of hemolysis, chronic hereditary hemolytic diseases include pulmonary hypertension, cutaneous ulceration and renal failure, acute hemolytic crises including thrombotic thrombocytopenic purpura, malaria, hemolysis from cardiopulmonary bypass procedures, transfusion of aged blood, myoglobinuria after muscle infarction, intravascular thrombosis, and ischemic central nervous system events.

[0031] The method of the present invention may be utilized to assist in the care of any persons with acute or chronic hemolytic diseases or conditions, especially in instances associated with elevated levels of intravascular hemoglobin.

[0032] These benefits are expected to result in better efficacy of medical interventions with less side effects, providing secondary benefits in improved quality of life, duration of life, and reduced medical costs, including costs associated with caring for serious health problems associated with the occurrence of strokes.

[0033] The present invention can be embodied in-part in the form of computer-implemented processes and apparatuses for practicing those processes. The present invention can also be embodied in-part in the form of computer program code containing instructions embodied in tangible media, such as floppy diskettes, CD-ROMs, hard drives, or an other computer readable storage medium, wherein, when the computer program code is loaded into, and executed by, an electronic device such as a computer, micro-processor or logic circuit, the device becomes an apparatus for practicing the invention.

[0034] The present invention can also be embodied in-part in the form of computer program code, for example, whether stored in a storage medium, loaded into and/or executed by a computer, or transmitted over some transmission medium, such as over electrical wiring or cabling, through fiber optics, or via electromagnetic radiation, wherein, when the computer program code is loaded into and executed by a computer, the computer becomes an apparatus for practicing the invention. When implemented in a general-purpose microprocessor, the computer program code segments configure the microprocessor to create specific logic circuits.

[0035] In view of the above, it will be seen that the several objects of the invention are achieved and other advantageous results are obtained. As various changes could be made in the above constructions without departing from the scope of the invention, it is intended that all matter contained in the above description or shown in the accompanying drawings shall be interpreted as illustrative and not in a limiting sense.

**CLAIMS:**

1. A method for predicting the occurrence of strokes in a human patient having sickle-cell anemia, comprising:

measuring concentration levels of one or more breath gases exhaled by the patient; and

comparing said measured concentration levels with predetermined concentration levels indicative of the likelihood of an occurrence of stroke.

2. The method of Claim 1 for predicting the occurrence of strokes further including the step of generating an index responsive to said measured concentration levels, said index representative of a likelihood of stroke occurrence.

3. The method of Claim 1 for predicting the occurrence of strokes wherein said measuring step includes measuring a concentration of carbon monoxide breath gases exhaled by the patient.

4. A method for predicting the onset of one or more sickle-cell anemia related pathologies in a human patient having sickle-cell anemia, comprising:

measuring concentration levels of one or more breath gases exhaled by the patient; and

comparing said measured concentration levels with predetermined concentration levels indicative of an onset of one or more selected sickle-cell pathology.

5. The method of Claim 4 wherein said sickle-cell anemia pathologies include one or more pathologies from a set of pathologies including anemia, pain, or infection.

6. The method of Claim 4 wherein each of said one or more selected sickle-cell anemia related pathologies are each influenced by a decreased nitrous-oxide (NO) bioavailability.

7. A method for predicting the onset of one or more (NO)-related negative influences of hemolysis in a human patient, comprising:

measuring concentration levels of one or more breath gases exhaled by the patient; and

comparing said measured concentration levels with predetermined concentration levels indicative of an onset of one or more selected (NO)-related negative influences of hemolysis.

8. A method for predicting the onset of one or more (NO)-related negative influences of chronic hereditary hemolytic disease in a human patient, comprising:

measuring concentration levels of one or more breath gases exhaled by the patient; and

comparing said measured concentration levels with predetermined concentration levels indicative of an onset of one or more selected (NO)-related negative influences.

9. The method of Claim 8 wherein said one or more (NO)-related negative influences of chronic hereditary hemolytic disease include one or more pathologies from a set of pathologies including pulmonary hypertension, cutaneous ulceration, renal failure, thrombotic thrombocytopenic purpura, and malaria.

10. A method for predicting the onset of one or more (NO)-related negative influences in a human patient, comprising:

measuring concentration levels of one or more breath gases exhaled by the patient; and

comparing said measured concentration levels with predetermined concentration levels indicative of an onset of one or more selected (NO)-related negative influences.

## **ABSTRACT OF THE DISCLOSURE**

A method for predicting the occurrence of strokes in a human patient by detecting abnormal levels of hemolysis, through the measurement of (CO) breath gas concentrations.

METHOD FOR PREDICTING STROKE IN A  
HUMAN PATIENT  
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Attorney: Mark E. Books Reg. No. 40,918

# FIGURE 1

